I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted via the Office electronic filing system in accordance with § 1.6(a)(4).

Dated: November 25, 2009

Electronic Signature for Maneesh Gulati, Esq.: /Maneesh Gulati/

Docket No.: SHO-028US (PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Asuka Nishimura *et al.* 

Application No.: 10/566,593 Confirmation No.: 3574

Filed: August 17, 2006 Art Unit: 1638

For: GENES THAT CONFER REGENERATION Examiner: P. T. Bui

ABILITY TO PLANTS, AND USES THEREOF

MS Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### REQUEST FOR CORRECTED FILING RECEIPT

#### Dear Madam:

Applicants hereby request that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicants, a copy of which is attached hereto with the appropriate changes indicated thereon, has an error in the Assignment For Published Patent Application. Please note that the correct spelling of the assignee below:

#### **Assignment For Published Patent Application**

Honda Motor Co., LTD., Tokyo, Japan

This correction is supported by the attached copy of the Application Data Sheet, as originally filed with the initial filing of the above-identified patent application on January 27, 2006. Also enclosed are copies of two Supplemental Application Data Sheets as filed March 13, 2006 and April 20, 2006, respectively, further reflecting the proper spelling of the Assignee as set forth above.

Application No.: 10/566,593 Docket No.: SHO-028US

Applicants additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

Applicants believe that no fee is due with this request. However, if a fee is due, please charge our Deposit Account No. 12-0080, under Order No. SHO-028US from which the undersigned is authorized to draw.

Dated: November 25, 2009 Respectfully submitted,

Electronic signature: /Maneesh Gulati/ Maneesh Gulati, Esq. Registration No.: 60,963 LAHIVE & COCKFIELD, LLP

One Post Office Square Boston, Massachusetts 02109-2127

(617) 227-7400 (617) 742-4214 (Fax)

Attorney/Agent For Applicant



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER	FILING or	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
10/566 593	08/17/2006	1638	2660	SHO-028US	22	4

959 LAHIVE & COCKFIELD, LLP FLOOR 30, SUITE 3000 ONE POST OFFICE SQUARE BOSTON, MA 02109

**CONFIRMATION NO. 3574** CORRECTED FILING RECEIPT



Date Mailed: 10/01/2009

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Asuka Nishimura, Wako-shi, JAPAN; Makoto Matsuoka, Nagoya-shi, JAPAN;

Assignment For Published Patent Application

HONDA MOTORS CO., LTD., Tokyo, JAPAN- HONDA MOTOR CO., LTD., TOK yo, Japan NATIONAL LINIVERSITY CORDER TO TOKYO, JAPAN-NATIONAL UNIVERSITY CORPORATION NAGOYA UNIVERSITY, Aichi, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 00959

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/11307 07/30/2004 which claims benefit of 60/491,837 07/31/2003

Foreign Applications

If Required, Foreign Filing License Granted: 05/03/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US 10/566,593

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

page 1 of 3

Title

Genes that confer regeneration ability to plants, and uses thereof

**Preliminary Class** 

800

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

### LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

# SEQUENCE LISTINGS AND TABLES RELATED THERETO IN INTERNATIONAL APPLICATIONS FILED IN THE U.S. RECEIVING OFFICE

The Administrative Instructions (Als) under the Patent Cooperation Treaty (PCT), in force as of July 1, 2009, contain important changes relating to the manner of filing, and applicable fees for, sequence listings and/or tables related thereto (sequence-related tables) in international applications. The complete text may be accessed at http://www.wipo.int/pct/en/texts/index.htm.

Effective July 1, 2009, Part 8 and Annex C-bis will no longer form part of the Als. Part 8 was introduced in 2001 as a temporary solution to problems arising from the filing of very large sequence listings on paper and provided for a sequence listing forming part of the international application to be filed in electronic form on physical medium (e.g., CD), together with the remainder of the application on paper. In 2002, Part 8 was expanded to include sequence-related tables and Annex C-bis was added to provide technical requirements. All applicants may now file complete international applications in electronic form, eliminating the need for these temporary provisions.

## I. AIS PART 8 AND ANNEX C-BIS DELETED AS OF JULY 1, 2009

- A) Sequence-related tables cannot be filed as a separate part of the description or in text format. They must be provided as an integral part of the international application either:
  - in PDF format as part of an international application filed in electronic form via EFS-Web; or
  - on paper as part of an international application filed on paper.
- B) A sequence listing forming part of an international application may be provided either:
  - in electronic form, as part of an international application filed in electronic form via EFS-Web, in
    - Annex C/ST.25 text format (preferred), or
    - PDF format; or
  - on paper as part of an international application filed on paper.

# C) A sequence listing not forming part of the international application (for search under PCT Rule 13ter) in Annex C/ST.25 text format

- is not required where the sequence listing forming part of the international application was filed in Annex C/ST.25 text format as part of an international application filed in electronic form via EFS-Web
- is required for search where the sequence listing forming part of the international application was filed in PDF
- is required for search on physical medium (e.g., CD) where the sequence listing forming part of the international application was filed on paper as part of an international application filed on paper.

# II. CALCULATION OF THE INTERNATIONAL FILING FEE AND FEE REDUCTION UNDER A1 § 707

- A) A sequence-related table must form an integral part of the international application and will incur FULL page fees with no upper limit.
- B) A sequence listing forming part of an international application filed:
  - via EFS-Web in Annex C/ST.25 text format will incur NO page fees;
  - on paper or in PDF format will incur FULL page fees with no upper limit.

# III. AVAILABILITY OF SEQUENCE LISTINGS SUBMITTED FOR SEARCH UNDER PCT RULE 13TER

International Searching Authorities will be required to transmit to the International Bureau a copy of an Annex C/ST.25 text format sequence listing provided for search under PCT Rule 13ter. Any such sequence listing will be made available on PATENTSCOPE® (sequence listings forming part of the international application are already available).

## IV. JULY 2009 REQUEST (PCT/RO/101)

The Request now has two options for the last sheet: one for paper filings; and one for EFS-Web filings. The July 2009 Request may be accessed at http://www.wipo.int/pct/en/forms/index.htm.